PTO/SB/01A (10-00)

Client Ref. No.: NEO00223US/371

Approved for use through 10/31/2002. OMB 0651-0032

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JUN 0 8 2008

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

# Title: GLYCOPEGYLATION METHODS AND PROTEINS/PEPTIDES PRODUCED BY THE METHODS

As the below named inventor(s), I/we declare that:

This declaration is directed to:

The attached application, or

Int'l. Application No., PCT/US04/11494, filed on April 9, 2004,

Application No. 10/552,896, filed on October 11, 2005,

as amended on \_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/ we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)	
Inventor one Shawp DeFrees	Date: 5/11/200 G
Signature:	Citizen of: United States
Inventor two David A. Zopf	Date: 5/11/2006
Signature: Cullo,	Citizen of: United States
Inventor three Robert J. Bayer	Date:
Signature:	Citizen of: United States
Inventor four	
Signature: Www.	Citizen of: United States

Client Ref. No.: NEO00223US/371

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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JUN 0 8 2006

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title: GLYCOPEGYLATION METHODS AND PROTEINS/PEPTIDES PRODUCED BY THE METHODS

As the below named inventor(s), I/we declare that:

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All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)	
Inventor one Shawn DeFrees	Date:
Signature:	Citizen of: United States
Inventor two David A. Zopf	Date:
Signature:	Citizen of: United States
Inventor three Robert J. Bayer	Date: May 10 2006
1	•
Signature: Met). Bayes	Citizen of: United States
Inventor four	Citizen of: United States  Date:

Additional inventors are being named on 1 additional form(s) attached hereto.

Attorney Docket No.: 40853-01-5051-US01 Client Ref. No.: NEO00223 1US

PTO/SB/01A (06-03)

JUN 0 8 2006

### **DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION** USING AN APPLICATION DATA SHEET (37 CFR 1.76) -ADDITIONAL INVENTOR(S)

## **Supplemental Sheet**

(Total of 2 forms are submitted.)

FULL NAME	OF INVENTOR(S)		
Inventor 1:	Caryn Bowe	Date:	5/11/2006
Signature:	Cary Long Borry	Citizen of:	United States
Inventor 2:	Xi Chen	Date:	
Signature:		Citizen of:	The Peoples Republic of China
Inventor 3:		Date:	
Signature:		Citizen of:	
		-	
Inventor 4:		Date:	
Signature:		Citizen of:	

Attorney Docket No.: 40853-01-5051-US01 Client Ref. No.: NEO00223 1US

PTO/SB/01A (06-03)

#### **DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION** USING AN APPLICATION DATA SHEET (37 CFR 1.76) -**ADDITIONAL INVENTOR(S)**

#### **Supplemental Sheet**

(Total of 2 forms are submitted.)

FULL NAME	OF INVENTOR(S)	<u> </u>	
Inventor 1:	Caryn Bowe	Date:	
Signature:		Citizen of:	United States
Inventor 2:	Xi Chen	Date:	05/08/2006
Signature:	chen Xi	Citizen of:	The Peoples Republic of China
Inventor 3:		Date:	
Signature:		Citizen of:	
		_	
Inventor 4:		Date:	
Signature:		- Citizen of:	
		_	

Attorney Docket No.: 40853-01-5051-US01 Client Reference No.: NEO00223.1US

#### ASSIGNMENT OF PATENT APPLICATION

**JOINT** 

WHEREAS, Shawn DeFrees, 126 Filly Drive, North Wales, PA 19454; David A. Zoft, 560 Beechtree Lane, Wayne, PA 19087; Robert J. Bayer, 6105 Dirac Street, San Diego, CA 92122; David James Hakes, 14 Fern Avenue, Willow Grove, PA 19090; Caryn Bowe, 276 Cherry Lane, Doylestown, PA 18901; and Xi Chen, 107 Whitney Place, Lansdale, PA 19446, hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified patent application:

Title of Invention: GLYCOPEGYLATION METHODS AND

PROTEINS/PEPTIDES PRODUCED BY THE

**METHODS** 

Filing Date: October 11, 2005

Application No.: 10/552,896; and

WHEREAS, Neose Technologies, Inc., located at 102 Witmer Road, Horsham, PA 19044, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on any patent application claiming priority from the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents does assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that Assignors will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Morgan, Lewis & Bockius LLP, One Market, Spear Street Tower, San Francisco, CA 94105, to insert herein above the application number and filing date of said application when known.

Assignment Attorney Docket No.: 040853-01-5051-US01 Page 2
IN TESTIMONY WHEREOF, Assignors have signed his/her names on the dates indicated.
Dated: 5/1/200C SHAWN DEFREES
STATE OF PENNSYLVANIA )
) ss. COUNTY OF MONTGOMERY )
On 5/1/66, before me Suzanne Connon personally appeared Shawn DeFrees, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal.
NOTARIAL SEAL SUZANNE M. GANNON, NOTARY PUBLIC HORSHAM TWP., MONTGOMERY COUNTY MY COMMISSION EXPIRES AUG. 27, 2006 NOTARY PUBLIC
MY COMMISSION EXPIRES AUG. 27, 2006 NOTARY PUBLIC  My Commission Expires:
Dated: 1 May 2006
DAVÍD A. ZOPF
STATE OF PENNSYLVANIA )
COUNTY OF Montgomeny) ss.
On 5/1/06, before me, Suzanne (panner) personally appeared David A. Zopf, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

1-SF/7365974.1

WITNESS my hand and official seal.

My Commission Expires:

NOTARIAL SEAL
SUZANNE M. GANNON, NOTARY PUBLIC
HORSHAM TWP, MONTGOMERY COUNTY
MY COMMISSION EYDIDES AUG. 27, 2006

Assignment Attorney Docket No.: 040853-01-5051-US01 Page 3	
Dated: May 6, 2006	Met J. Bayer ROBERT J. BAYER
STATE OF CALIFORNIA )	
COUNTY OF ) ss.	·
Bayer, personally known to me (or proved to me whose name is subscribed to the within instrument	personally appeared Robert J. e on the basis of satisfactory evidence) to be the person ent, and acknowledged to me that he/she executed the his/her signature on the instrument the person, or the secuted the instrument.
WITNESS my hand and official seal.	
My Commission Expires:	NOTARY PUBLIC
Dated:	
	DAVID JAMES HAKES
STATE OF PENNSYLVANIA ) ss.	
COUNTY OF )	
person whose name is subscribed to the within i	personally appeared David ed to me on the basis of satisfactory evidence) to be the instrument, and acknowledged to me that he/she executed to by his/her signature on the instrument the person, or the secuted the instrument.
Williaso my hand and official scal.	
My Commission Expires:	NOTARY PUBLIC

Assignment Attorney Docket No.: 040853-01-5051-US01 Page 3	
Dated:	
	ROBERT J. BAYER
STATE OF CALIFORNIA ) ss. COUNTY OF )	
Bayer, personally known to me (or proved to me whose name is subscribed to the within instrume	personally appeared Robert J. on the basis of satisfactory evidence) to be the person ent, and acknowledged to me that he/she executed the his/her signature on the instrument the person, or the ecuted the instrument.
WITNESS my hand and official seal.	
My Commission Expires:	NOTARY PUBLIC
Dated: 5/1/06	Down ML
	DAVID JAMES HAKES
STATE OF PENNSYLVANIA ) ss. COUNTY OF Montgomen )	DUZIANNE (PANNO) personally appeared David
person whose name is subscribed to the within in	is to file on the basis of satisfactory evidence) to be the instrument, and acknowledged to me that he/she executed by his/her signature on the instrument the person, or the
WITNESS my hand and official seal.  NOTARIAL SEAL	
SUZANNE M. GANNON, NOTARY PUBLIC HORSHAM TWP., MONTGOMERY COUNTY MY COMMISSION EXPIRES AUG. 27, 2006	Hugann Gannon
My Commission Expires:	// // NOTARY PUBLIC

Dated: 5/4/2006		Caux Jan Bons
0		CARYN BOWE
STATE OF Harreylve	· ss. ) ss.	
COUNTY OF Montgome	ry)	
Bowe, personally/known to me (or whose name is subscribed to the	or proved to me within instrume ity, and that by	e on the basis of satisfactory evidence) to be the person ent, and acknowledged to me that he/she executed the his/her signature on the instrument the person, or the ecuted the instrument.
WITNESS my hand and official s	seal	
NOTARIAL SEAL SUZANNE M. GANNON, NOTARY PUBLIC HORSHAM TWP., MONTGOMERY COUNTY MY COMMISSION EXPIRES AUG. 27, 200		Juzanne Lann
My Commission Expires:		NOTARY PUBLIC
Dated:		
		XI CHEN
STATE OF	)	
COUNTY OF	) ss. )	
personally known to me (or provename is subscribed to the within	ed to me on the instrument, and hat by his/her s	personally appeared Xi Chen, basis of satisfactory evidence) to be the person whose a acknowledged to me that he/she executed the same in signature on the instrument the person, or the entity upon instrument.
WITNESS my hand and official	seal.	
		NOTARY PUBLIC
My Commission Expires:		

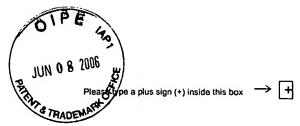
Assignment Attorney Docket No.: 040853-01-5051-US01 Page 4 Assignment Attorney Docket No.: 040853-01-5051-US01 Page 4 Dated: **CARYN BOWE** STATE OF **COUNTY OF** On \_\_\_\_\_\_, before me, \_\_\_\_\_\_ personally appeared Caryn Bowe, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal. **NOTARY PUBLIC** My Commission Expires: Dated: 05/05/2006 STATE OF CALIFORN IA

COUNTY OF YOLO On MAY 5, 7006, before me, LYNN CHRISTENSOU personally appeared Xi Chen, personally known to me (or proved to me on the basis of satisfactory evidence) to be the person whose name is subscribed to the within instrument, and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument. WITNESS my hand and official seal.

LYNN CHRISTENSEN
Commission # 1446786
Notary Public - California
Yolo County
My Comm. Expires Nov 19, 2007

1-SF/7365974.1

My Commission Expires: 11



**POWER OF ATTORNEY OR** 

PTO/SB/81 (02-01)

PCT/US2004/011494

April 9, 2004

10/552,896

October 11, 2005

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Int'l. Application Number

U.S. Application Number

Int'l. Filing Date

Filing Date

#### DeFrees, Shawn **First Named Inventor AUTHORIZATION OF AGENT GLYCOPEGYLATION METHODS** AND PROTEINS/PEPTIDES Title PRODUCED BY THE METHODS To be assigned **Group Art Unit** To be assigned **Examiner Name** 40853-01-5051-US01 **Attorney Docket Number** I hereby appoint: Place Customer Practitioners at Customer Number 43850 Number Bar Code Label here Practitioner(s) named below: Name Registration Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith. Please change the correspondence address for the above-identified application to: The above-mentioned Customer Number. OR Individual Name Address City State ZIP Country Telephone Fax I am the: Applicant/Inventor. Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). SIGNATURE of Applicant or Assignee of Record Name Debra Poul, Esq., Senior Vice President, General Counsel and Secretary, Neose Technologies, Inc. Signature Date

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NOTE: Signatures of all the inventor's or assignees of record of the entire interest or their representative(s) are required.

Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

JUN 0 8 2006 RADEMAN

PTO/SB/96 (08-00)
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Attorney Docket No. 40853-01-5051-US01

#### **STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Shawn DeFrees, David A. Zopf, Robert J. Bayer, David Hakes, Xi Chen, Caryn Bowe
Application No./Patent No.: 10/552,896 Filed/Issue Date: October 11, 2005
Corresponding to: International Application No. PCT/US2004/011494 filed April 9, 2004
Entitled: GLYCOPEGYLATION METHODS AND PROTEINS/PEPTIDES PRODUCED BY THE METHODS
Neose Technologies, Inc. , a Delaware corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is:
1. the assignee of the entire right, title, and interest; or
2. an assignee of an undivided part interest
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventors of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached. OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
1. From:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2. From: To :
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To :
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
5/11/06 Duml Signature
Debra Poul, Esq.
Typed or printed name
Senior Vice President, General Counsel and Secretary
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.